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(54) **REDOX SHUTTLES FOR LITHIUM ION BATTERIES**

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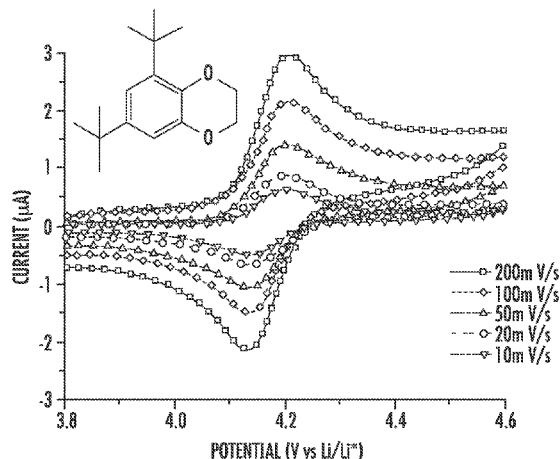
**H01M 10/0525** (2010.01)

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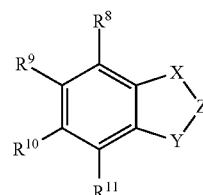
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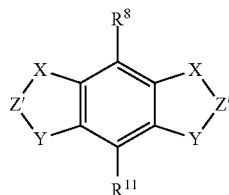
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## ABSTRACT

An electrolyte may include compounds of general Formula IVA or IVB.



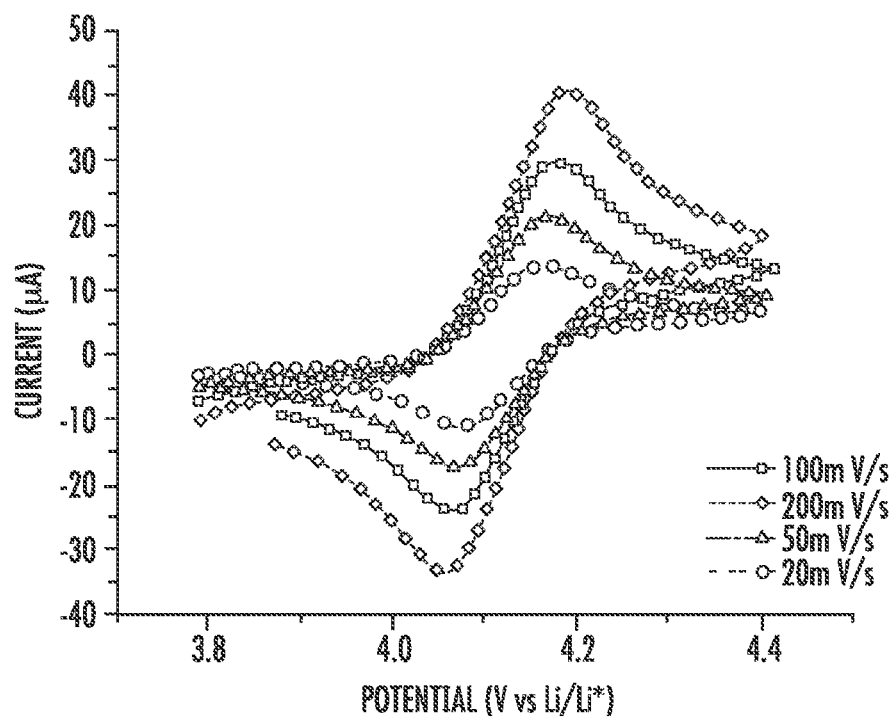
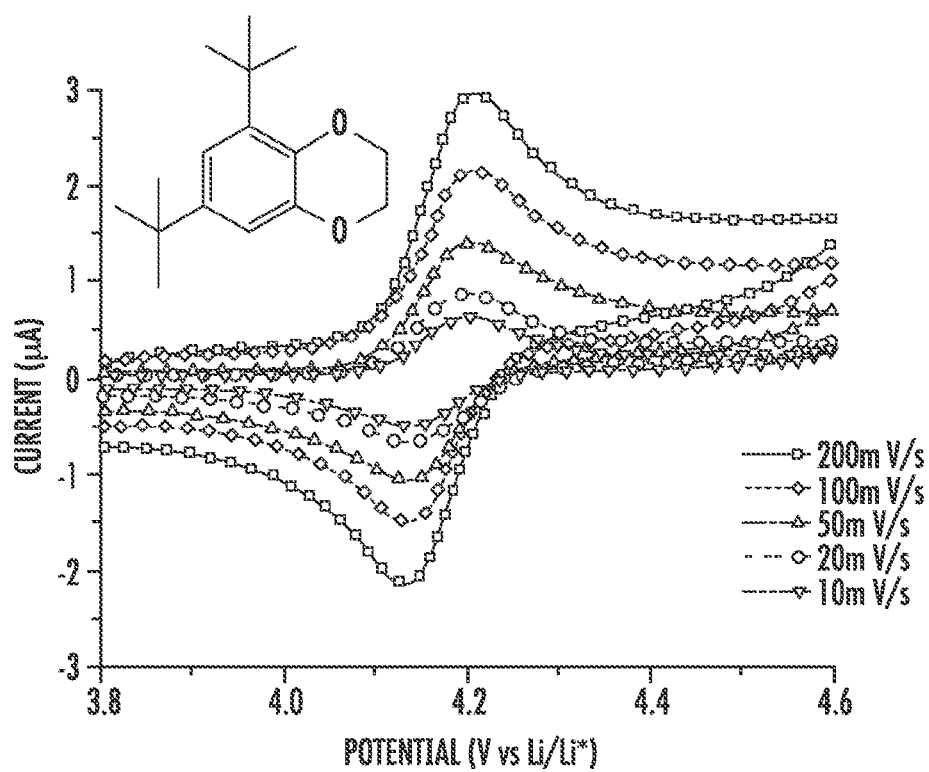
IVA



IVB

where,  $R^8$ ,  $R^9$ ,  $R^{10}$ , and  $R^{11}$  are each independently selected from H, F, Cl, Br, CN,  $NO_2$ , alkyl, haloalkyl, and alkoxy groups; X and Y are each independently O, S, N, or P; and Z' is a linkage between X and Y, and at least one of  $R^8$ ,  $R^9$ ,  $R^{10}$ , and  $R^{11}$  is other than H.

18 Claims, 3 Drawing Sheets

**FIG. 1****FIG. 2**

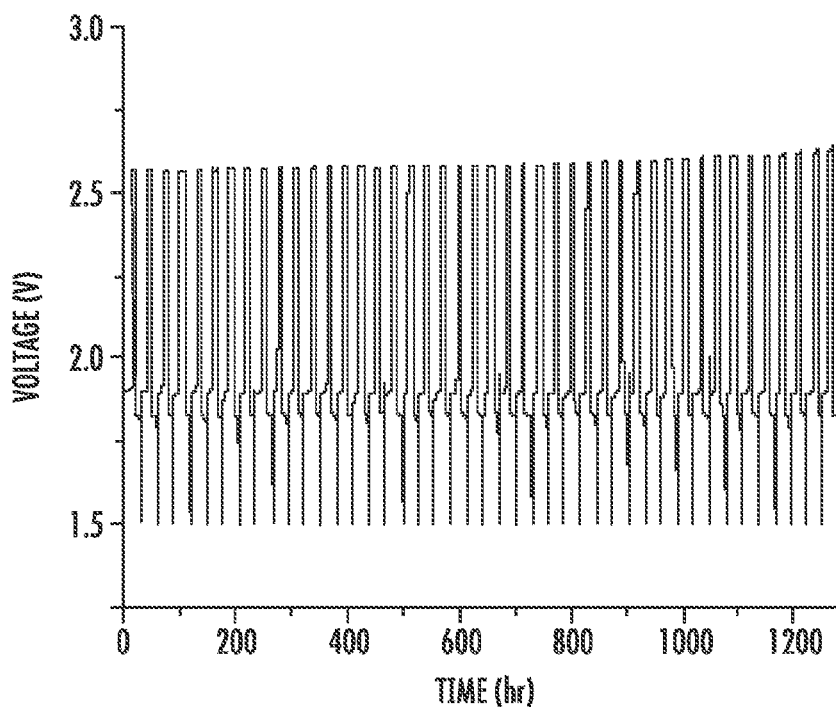


FIG. 3

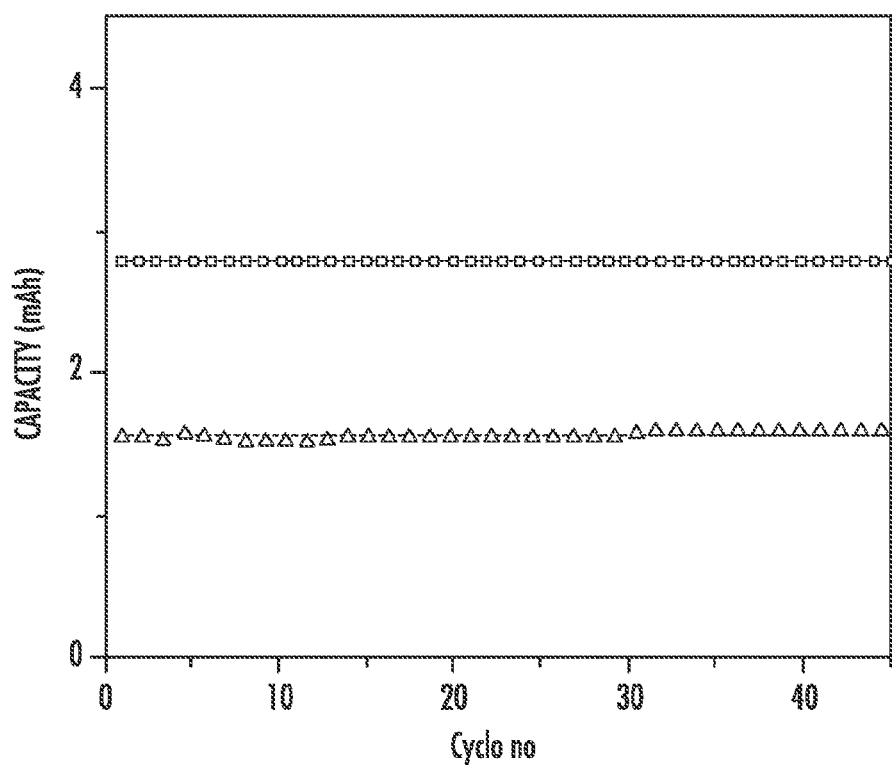
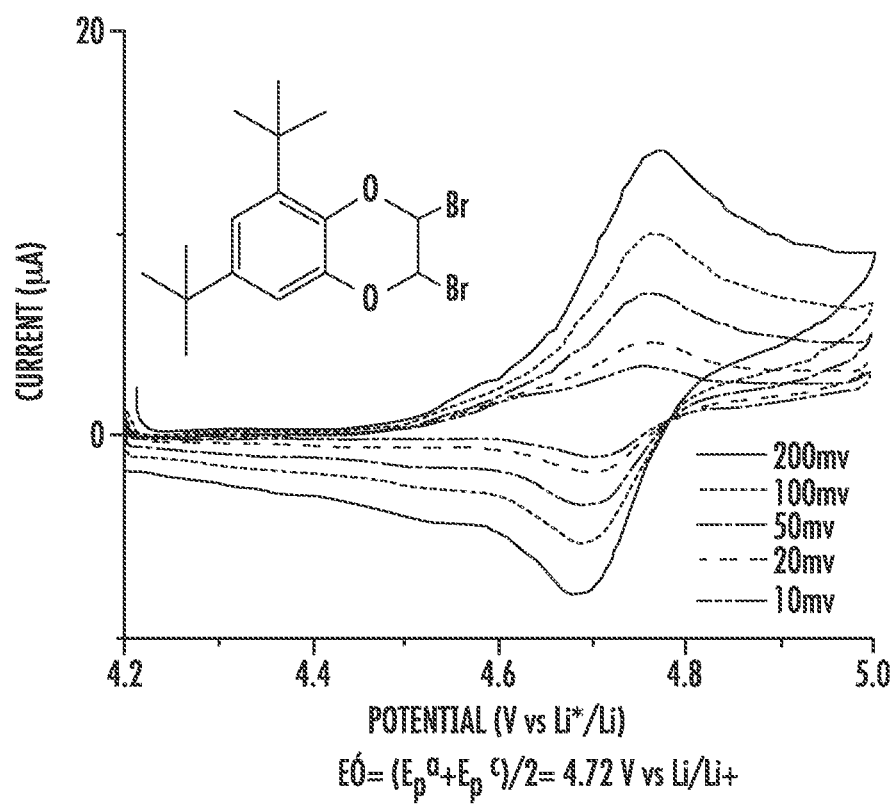


FIG. 4

**FIG. 5**

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# REDOX SHUTTLES FOR LITHIUM ION BATTERIES

## CROSS-REFERENCE TO RELATED APPLICATIONS

This application is a continuation of U.S. patent application Ser. No. 13/113,499, filed on May 23, 2011, now U.S. Pat. No. 8,877,390, which in turn claims the benefit of U.S. Provisional Patent Application No. 61/348,047, filed on May 25, 2010, the entire disclosures of which are incorporated herein by reference for any and all purposes.

## GOVERNMENT INTERESTS

This invention was made with Government support under Contract No. W-31-109-ENG-38 awarded by the Department of Energy. The Government has certain rights in this invention.

## FIELD

The present technology relates generally to the electrolyte redox shuttle compounds carried in the non-aqueous electrolyte providing intrinsic overcharge protection capability for lithium ion batteries, electrical double-layer capacitors and other electrochemical devices.

## BACKGROUND

Lithium ion batteries were first commercialized in 1990, and soon thereafter drew tremendous attention from academic and industry interests due to the advantages such as high energy density and rapid charge/discharge capabilities in comparison to state of the battery technology at the time. In recent years, lithium ion battery technology has become the most popular power source for portable electronic devices. In addition, lithium ion batteries have found application in hybrid electric vehicles (HEV) and plug-in hybrid electric vehicles (PHEV). However, safety of lithium batteries continues to plague the technology. For example, secondary lithium-ion batteries are known to exhibit problems in shorting of the battery, elevated operating temperatures and overcharge, which can lead to dangerous situations such as overheating, fire, and explosion of the battery.

Overcharge occurs when electricity flow is forced through a cell when its capacity is already full. This is one of the most common factors that could lead to serious safety issues of lithium-ion batteries. Due to the manufacture processes, there is always a "weakest cell" in a battery pack (i.e. the cell with the lowest charging capability in a multi-cell battery pack). During charging, the weakest cell will reach full capacity prior to the other cells, but because the overall voltage of the battery is not high, the full capacity cell will not trigger the voltage monitor of the charger to read "full." As a result, the weakest cell is put into an overcharge situation. Instead of being stored evenly across all electrodes in the battery pack, electricity will build up in, and increase the potential of, the cathode in the weakest cell, causing the potential to go beyond the electrochemical window of the electrolyte. In turn, this will cause reactions to occur such as oxidation of the electrolyte, leading up to and including explosion of the cell and battery pack.

Known methods to avoid the overcharge abuse in practice, include the use of electronic devices attached to each individual cell to monitor for overcharge, the use of overcharge

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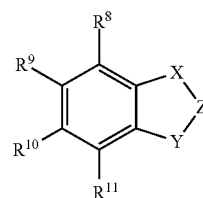
protection compounds in each cell, and the use of redox shuttles in the electrolyte of the electrochemical cells.

A number of redox shuttle compounds are known. Generally, the redox shuttle molecule can be reversibly oxidized and reduced at a defined potential slightly higher than the end-of-charge potential of the positive electrode. This mechanism can protect the cell from overcharge by locking the potential of the positive electrode at the oxidation potential of the shuttle molecules.

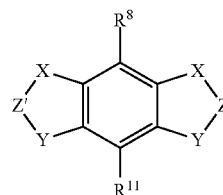
For an ideal redox shuttle compound, there are at least three desirable properties. The first property is that it should have a reversible oxidation potential that is appropriate for the cathode material with which it is to be used. This means that the oxidation potential of the redox shuttle should be between 0.3V and 0.5V volts higher than the end-of-charge potential of the cathode. This will ensure that redox shuttle is accessed only overcharge potentials. The second property is that the redox shuttle should be electrochemically stable or reversible. The stability and reversibility of the redox shuttle will determine how much overcharge protection is provided. The third property is that the redox shuttle is to have sufficient solubility in the electrolyte system in order to have an effective amount of the redox shuttle available.

## SUMMARY

In another aspect, a compound of Formula IVA or IVB is provided:



IVA



IVB

In the compounds of Formula IVA or IVB,  $R^8$ ,  $R^9$ ,  $R^{10}$ , and  $R^{11}$  are each independently selected from H, F, Cl, Br, CN,  $NO_2$ , alkyl, haloalkyl, and alkoxy groups; X and Y are each independently O, S, N, or P; and  $Z'$  is a linkage between X and Y. In some embodiments, X and Y are O. In some embodiments, at least two of  $R^8$ ,  $R^9$ ,  $R^{10}$ , and  $R^{11}$  are a tertiary alkyl group. In some embodiments, at least two of  $R^8$ ,  $R^9$ ,  $R^{10}$ , and  $R^{11}$  are tert-butyl groups. In some embodiments,  $Z'$  is  $CH_2$ ,  $CH_2CH_2$ ,  $CH_2CH_2CH_2$ ,  $CH_2CH_2CH_2CH_2$ ,  $CH_2CH_2CH_2CH_2CH_2$ ,  $CH(CH_3)CH_2$ ,  $CH(CH_3)(CH_2)CH_2$ ,  $CH(CH_3)CH(CH_3)$ ,  $CHCH$ ,  $CCl_2$ ,  $CBr_2$ ,  $CF_2$ ,  $CH(Cl)CH(Cl)$ ,  $CH(Br)CH(Br)$ ,  $CH(F)CH(F)$ ,  $CH_2(CF_2)CH_2$ ,  $CH_2N(Me)CH_2$ ,  $CH_2OCH_2CH_2OCH_2$ ,  $CH_2CH_2OCH_2OCH_2CH_2$ ,  $CH_2CH_2OCH_2CH_2OCH_2$ , or  $CH_2CH_2OCH_2CH_2OCH_2CH_2$ . In some embodiments,  $R^8$  and  $R^{10}$  are tert-butyl;  $R^9$  and  $R^{11}$  are each independently H, F, Cl, Br, CN,  $NO_2$ , alkyl, haloalkyl, or alkoxy groups; X and Y are O; and  $Z'$  is  $CH_2$ ,  $CH_2CH_2$ , or  $CHCH$ . In some embodi-

ments, R<sup>8</sup> and R<sup>10</sup> are tert-butyl; R<sup>9</sup> and R<sup>11</sup> are each independently H, F, Cl, or Br; X and Y are O; and Z' is CH<sub>2</sub> or CHCH. In some embodiments, the compound of Formula IVA is 5,7-di-tert-butyl-2,3-dibromo-1,4-benzodioxin; 5,7-di-tert-butyl-1,4-benzodioxin; or 4,6-di-tert-butyl-1,3-benzodioxole.

In another aspect, an electrolyte is provided including an alkali metal salt; a polar aprotic solvent; and a redox shuttle, where the redox shuttle is any of compounds of Formula IVA, or IVB described above generally, or specifically, and where the electrolyte solution is substantially non-aqueous. In some embodiments, the redox shuttle has a redox potential of from 3 V to 5 V in the electrolyte. In some embodiments, the redox shuttle is present in the electrolyte from 0.0005 wt % to 50 wt %.

In some embodiments, the electrolyte also includes an electrode stabilizing compound that can be reduced or polymerized on the surface of a negative electrode to form a passivation film on the surface of negative electrode. In other embodiments, the electrolyte also includes an electrode stabilizing compound that can be oxidized or polymerized on the surface of positive electrode to form a passivation film on the surface of the positive electrode. In some embodiments, the electrode stabilizing compound is present from 0.001 wt % to 8 wt %.

In some embodiments, the alkali metal salt is other than Li[B(C<sub>2</sub>O<sub>4</sub>)<sub>2</sub>] or Li[BF<sub>2</sub>(C<sub>2</sub>O<sub>4</sub>)], and the electrolyte further includes an electrode stabilizing compound that is Li[B(C<sub>2</sub>O<sub>4</sub>)<sub>2</sub>] or Li[BF<sub>2</sub>(C<sub>2</sub>O<sub>4</sub>)]. In some embodiments, the electrode stabilizing compound is present from 0.001 wt % to 8 wt %.

In another aspect, an electrochemical device is provided including a cathode; an anode; and an electrolyte including an alkali metal salt, a polar aprotic solvent, and a redox shuttle that is compound as described above, where the electrolyte solution is substantially non-aqueous. In some embodiments, the device is a lithium secondary battery; the cathode is a lithium metal oxide cathode; the anode is a carbon, a lithium metal anode, or a lithium alloy; and the anode and cathode are separated from each other by a porous separator. In some embodiments, the cathode includes a spinel, an olivine, a carbon-coated olivine, LiFePO<sub>4</sub>, LiCoO<sub>2</sub>, LiNiO<sub>2</sub>, LiNi<sub>1-x</sub>Co<sub>x</sub>Met<sub>2</sub>O<sub>2</sub>, LiMn<sub>0.5</sub>Ni<sub>0.5</sub>O<sub>2</sub>, LiMn<sub>0.3</sub>Co<sub>0.3</sub>Ni<sub>0.3</sub>O<sub>2</sub>, LiMn<sub>2</sub>O<sub>4</sub>, LiFeO<sub>2</sub>, LiMet<sub>0.5</sub>Mn<sub>1.5</sub>O<sub>4</sub>, Li<sub>1+x</sub>Ni<sub>x</sub>Mn<sub>p</sub>Co<sub>q</sub>Met'<sub>s</sub>O<sub>2-z</sub>F<sub>z</sub>, Nasicon, A<sub>n</sub>B<sub>2</sub>(XO<sub>4</sub>)<sub>3</sub>, vanadium oxide, or mixtures of any two or more thereof, where Met is Al, Mg, Ti, B, Ga, Si, Mn, or Co; Met' is Mg, Zn, Al, Ga, B, Zr, or Ti; A is Li, Ag, Cu, Na, Mn, Fe, Co, Ni, Cu, and Zn; B is Ti, V, Cr, Fe, and Zr; X is P, S, Si, W, Mo; 0 ≤ x ≤ 0.3, 0 ≤ y ≤ 0.5, 0 ≤ z ≤ 0.5, 0 ≤ m ≤ 0.5 and 0 ≤ n ≤ 0.5; 0 ≤ x' ≤ 0.4, 0 ≤ α ≤ 1, 0 ≤ β ≤ 1, 0 ≤ γ ≤ 1, 0 ≤ δ ≤ 0.4, and 0 ≤ z' ≤ 0.4; and 0 ≤ n' ≤ 3. In some embodiments, the cathode includes a surface coating of a metal oxide on particles of the cathode. In some such embodiments, the metal oxide includes ZrO<sub>2</sub>, TiO<sub>2</sub>, ZnO<sub>2</sub>, WO<sub>3</sub>, Al<sub>2</sub>O<sub>3</sub>, MgO, SiO<sub>2</sub>, SnO<sub>2</sub>AlPO<sub>4</sub>, or Al(OH)<sub>3</sub>. In some embodiments, the anode includes graphite, amorphous carbon, Li<sub>4</sub>Ti<sub>5</sub>O<sub>12</sub>, tin alloys, silicon alloys, intermetallic compounds, or lithium metal.

In another aspect, an electrochemical device is provided including a spinel, olivine, or carbon-coated olivine cathode; a graphite or amorphous carbon anode; and a substantially non-aqueous electrolyte including an alkali metal salt that is Li[BF<sub>2</sub>(C<sub>2</sub>O<sub>4</sub>)] or Li[B(C<sub>2</sub>O<sub>4</sub>)<sub>2</sub>], a polar aprotic solvent that is ethyl acetate; propyl acetate; ethylene carbonate; propylene carbonate; dimethyl carbonate; diethyl carbonate; ethyl methyl carbonate; dimethyl ether; or γ-butyrolactone; a redox

shuttle that is any of the compounds of Formulas IVA or IVB as described above; and an electrode stabilizing compound that is pyridazine; vinyl pyridazine; quinoline; vinyl quinoline; pyridine; vinyl pyridine; indole; vinyl indole; triethanolamine; 1,3-dimethyl butadiene; butadiene; vinyl ethylene carbonate; vinyl carbonate; imidazole; vinyl imidazole; piperidine; vinyl piperidine; pyrimidine; vinyl pyrimidine; pyrazine; vinyl pyrazine; isoquinoline; vinyl isoquinoline; quinoxaline; vinyl quinoxaline; biphenyl; 1,2-diphenyl ether; 1,2-diphenylethane; o-terphenyl; N-methyl pyrrole; naphthalene; 3,9-divinyl-2,4,8,10-tetraoxaspiro[5.5]undecane; 3,9-divinyl-2,4,8-trioxaspiro[5.5]undecane; 3,9-divinyl-2,4-dioxaspiro[5.5]undecane; 3,9-diethylidene-2,4,8,10-tetraoxaspiro[5.5]undecane; 3,9-diethylidene-2,4,8-trioxaspiro[5.5]undecane; 3,9-diethylidene-2,4-dioxaspiro[5.5]undecane; 3,9-dimethylene-2,4,8,10-tetraoxaspiro[5.5]undecane; 3,9-divinyl-1,5,7,11-tetraoxaspiro[5.5]undecane; 3,9-dimethylene-1,5,7,11-tetraoxaspiro[0.5]undecane; or 3,9-diethylidene-1,5,7,11-tetraoxaspiro[5.5]undecane.

In another aspect, a method of preparing an electrolyte includes combining an alkali metal salt; a polar aprotic solvent; and a compound of any of Formulas IVA, or IVB, as described above.

#### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 are cyclic voltammogram of 4,6-di-tert-butyl-1,3-benzodioxole (10 mM) in 1.2 M LiPF<sub>6</sub> in EC/EMC (3:7 by weight) using a three electrode system (Pt working Electrode, Li counter electrode and Li reference electrode), as described in Example 14.

FIG. 2 are cyclic voltammogram of 5,7-di-tert-butyl-1,4-benzodioxin (10 mM) in 1.2 M LiPF<sub>6</sub> in EC/EMC (3:7 by weight) using a three electrode system (Pt working Electrode, Li counter electrode and Li reference electrode), as described in Example 14.

FIG. 3 is a series of voltage profiles for a LTO/LiFePO<sub>4</sub> cells containing 2.3 wt % 5,7-di-tert-butyl-1,4-benzodioxin in 1.2 M LiPF<sub>6</sub> in EC/EMC (3:7 by weight) during the course of 0 h to 3100 h at a charging rate of C/2, and overcharge is 100%.

FIG. 4 is a capacity retention profile for a LTO/LiFePO<sub>4</sub> cells containing 2.3 wt % 5,7-di-tert-butyl-1,4-benzodioxin in 1.2 M LiPF<sub>6</sub> in EC/EMC (3:7 by weight) during the course of 0 h to 3100 h, at a charging rate of C/2, and overcharge is 100%.

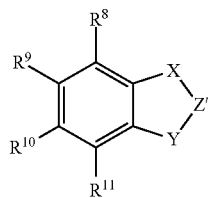
FIG. 5 are cyclic voltammograms of 5,7-di-tert-butyl-2,3-dibromo-1,4-benzodioxin in 1.2 M LiPF<sub>6</sub> in EC/EMC (3:7 by weight) using a three electrode system (Pt working Electrode, Li counter electrode and Li reference electrode), as described in Example 14.

#### DETAILED DESCRIPTION

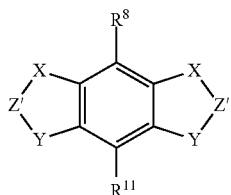
In one aspect, compounds are provided. The compounds have at least one aromatic ring and one or two fused heterocyclic ring(s) on the aromatic ring. Such compounds may be employed in a variety of applications. For example, in some embodiments, the compounds are redox shuttles that are capable of providing overcharge protection to an electrochemical cell and which exhibit sufficient solubility in carbonate-based electrolytes to allow for dissolution of the compounds at acceptable levels.

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In some embodiments, the compound is of Formula IVA or IVB.



IVA 5



IVB 15

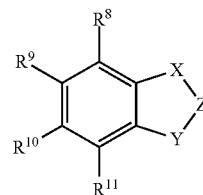
In the compounds of Formula IVA and IVB,  $R^8$ ,  $R^9$ ,  $R^{10}$ , and  $R^{11}$  are each independently selected from H, F, Cl, Br, CN,  $NO_2$ , alkyl, haloalkyl, and alkoxy groups; X and Y are each independently selected from the following heteroatoms: O, S, N, P; and  $Z'$  is a linkage between two hetero atoms. In some embodiments, X and Y are O. According to some embodiments, at least two of  $R^8$ ,  $R^9$ ,  $R^{10}$ , and  $R^{11}$  are a tertiary group such as a tert-butyl group. In some embodiments,  $Z'$  is  $CH_2$ ,  $CH_2CH_2$ ,  $CH_2CH_2CH_2$ ,  $CH_2CH_2CH_2CH_2$ ,  $CH_2CH_2CH_2CH_2CH_2$ ,  $CH_2CH_2CH_2CH_2CH_2CH_2$ ,  $CH(CH_3)CH_2$ ,  $CH(CH_3)(CH_2)CH_2$ ,  $CH(CH_3)CH(CH_3)$ ,  $CHCH$ ,  $CCl_2$ ,  $CBr_2$ ,  $CF_2$ ,  $CH(Cl)CH(Cl)$ ,  $CH(Br)CH(Br)$ ,  $CH(F)CH(F)$ ,  $CH_2(CF_2)CH_2$ ,  $CH_2N(Me)CH_2$ ,  $CH_2OCH_2CH_2OCH_2$ ,  $CH_2CH_2OCH_2OCH_2CH_2$ , or  $CH_2CH_2OCH_2CH_2OCH_2CH_2$ . According to other embodiments,  $R^8$  and  $R^{10}$  are tert-butyl;  $R^9$  and  $R^{11}$  are each independently H, F, Cl, Br, CN,  $NO_2$ , alkyl, haloalkyl, or alkoxy groups; X and Y are O; and  $Z'$  is  $CH_2$ ,  $CH_2CH_2$ , or  $CHCH$ . According to other embodiments,  $R^8$  and  $R^{10}$  are tert-butyl;  $R^9$  and  $R^{11}$  are each independently H, F, Cl, or Br; X and Y are O; and  $Z'$  is  $CH_2$  or  $CHCH$ . In some embodiments, the compound of Formula IVA is 5,7-di-tert-butyl-2,3-dibromo-1,4-benzodioxin; 5,7-di-tert-butyl-1,4-benzodioxin; or 4,6-di-tert-butyl-1,3-benzodioxole.

In another aspect, the above compounds are employed as redox shuttles in an electrolyte. According to one embodiment, the redox shuttle is a compound of Formula IVA or IVB, as described above. In other embodiments, the redox shuttle is a compound of Formula IVA, as described above. In other embodiments, the redox shuttle is a compound of Formula IVB, as described above. The compounds of Formula IVA and IVB have good compatibility with carbonate-based electrolytes. Such electrolytes, employing the compounds as redox shuttles, provide overcharge protection to lithium-ion batteries.

In one embodiment, the redox shuttle is a compound of Formula IVA or IVB.

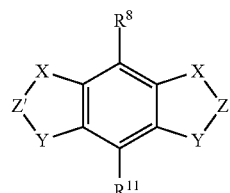
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IVA



10

IVB



In the redox shuttles of Formula IVA and IVB,  $R^8$ ,  $R^9$ ,  $R^{10}$ , and  $R^{11}$  are each independently selected from H, F, Cl, Br, CN,  $NO_2$ , alkyl, haloalkyl, and alkoxy groups; X and Y are each independently selected from the following heteroatoms: O, S, N, P; and  $Z'$  is a linkage between two hetero atoms. In some embodiments, X and Y are O. According to some embodiments, at least two of  $R^8$ ,  $R^9$ ,  $R^{10}$ , and  $R^{11}$  are a tertiary group such as a tert-butyl group. In some embodiments,  $Z'$  is  $CH_2$ ,  $CH_2CH_2$ ,  $CH_2CH_2CH_2$ ,  $CH_2CH_2CH_2CH_2$ ,  $CH_2CH_2CH_2CH_2CH_2$ ,  $CH_2CH_2CH_2CH_2CH_2CH_2$ ,  $CH(CH_3)CH_2$ ,  $CH(CH_3)(CH_2)CH_2$ ,  $CH(CH_3)CH(CH_3)$ ,  $CHCH$ ,  $CCl_2$ ,  $CBr_2$ ,  $CF_2$ ,  $CH(Cl)CH(Cl)$ ,  $CH(Br)CH(Br)$ ,  $CH(F)CH(F)$ ,  $CH_2(CF_2)CH_2$ ,  $CH_2N(Me)CH_2$ ,  $CH_2OCH_2CH_2OCH_2$ ,  $CH_2CH_2OCH_2OCH_2CH_2$ , or  $CH_2CH_2OCH_2CH_2OCH_2CH_2$ . According to other embodiments,  $R^8$  and  $R^{10}$  are tert-butyl;  $R^9$  and  $R^{11}$  are each independently H, F, Cl, Br, CN,  $NO_2$ , alkyl, haloalkyl, or alkoxy groups; X and Y are O; and  $Z'$  is  $CH_2$ ,  $CH_2CH_2$ , or  $CHCH$ . According to other embodiments,  $R^8$  and  $R^{10}$  are tert-butyl;  $R^9$  and  $R^{11}$  are each independently H, F, Cl, or Br; X and Y are O; and  $Z'$  is  $CH_2$  or  $CHCH$ . In some embodiments, the redox shuttle of Formula IVA is 5,7-di-tert-butyl-2,3-dibromo-1,4-benzodioxin; 5,7-di-tert-butyl-1,4-benzodioxin; or 4,6-di-tert-butyl-1,3-benzodioxole.

The redox shuttles operate in an electrochemical range defined by the characteristics of the positive electrode and which can provide over-discharge protection in series-connected cells. A negative electrode having a larger irreversible first cycle capacity loss than that of the positive electrode is employed, so that if a cell is driven into reversal, the potential of the negative electrode is driven above that of the positive electrode. During over-discharge, the potential of the negative electrode therefore increases. However, the shuttle limits the negative electrode potential to a value slightly above that of the positive electrode maximum normal operating potential and prevents the negative electrode from reaching even higher and more destructive potentials. A negative electrode current collector whose lithium alloying potential is below the negative electrode minimum normal operating potential is also employed. This prevents the current collector from capturing lithium during recharging of the Li-ion cell. The resulting battery chemically limits or eliminates cell damage due to repeated over-discharge even though the negative electrode in a weak cell may be driven to a value more positive than the positive electrode potential.

A variety of redox shuttles may be employed in lithium-ion batteries. Suitable redox shuttles have an electrochemical



potential above (e.g., slightly above) the positive electrode's maximum normal operating potential. Thus, according to some embodiments, redox shuttles in the electrolytes, have a redox potential from 3.5 V to 5.0 V. In other embodiments, the redox shuttles have a redox potential from 3.6 V to 4.6 V.

The redox shuttle selection may be guided in part by the positive electrode selection. As a general numeric guide, the shuttle may have a redox potential from 0.3 V to 0.6 V above the positive electrode's maximum normal operating potential, e.g., from 3.7 to 4.7 V vs.  $\text{Li/Li}^+$ , from 3.7 V to 4.4 V vs.  $\text{Li/Li}^+$ , from 3.7 V to 4.2 V vs.  $\text{Li/Li}^+$ , or from 3.7 V to 4.0 V vs.  $\text{Li/Li}^+$  above the positive electrode's maximum normal operating potential. For example,  $\text{LiFePO}_4$  positive electrodes have a recharge plateau of around 3.45 V vs.  $\text{Li/Li}^+$ , and redox shuttles for use with such electrodes may have a redox potential from 3.75 V to about 4.05 V vs.  $\text{Li/Li}^+$ . Similarly,  $\text{LiMnPO}_4$  and  $\text{LiMn}_2\text{O}_4$  electrodes have a recharge plateau around 4.1 V vs.  $\text{Li/Li}^+$ , and redox shuttles for use with such electrodes may have a redox potential from 4.4 V to 4.7 V vs.  $\text{Li/Li}^+$ .

Mixtures of two or more shuttles having different electrochemical potentials vs.  $\text{Li/Li}^+$  may also be employed. For example, a first shuttle operative at 3.8 V and a second shuttle operative at 3.9 V may both be employed in a single cell. If after many charge/discharge cycles the first shuttle degrades and loses its effectiveness, the second shuttle (which would not meanwhile have been oxidized to form its radical cation while the first shuttle was operative) could take over and provide a further (albeit higher potential) margin of safety against overcharge or over-discharge damage.

According to some embodiments, in addition to the redox shuttle, the electrolyte includes a polar aprotic solvent, and a lithium metal salt. The electrolytes are substantially non-aqueous. As used herein, substantially non-aqueous means that the electrolytes do not contain water, or if water is present, it is only present at trace levels. For example, where the water is present at trace levels it is present at less than 20 ppm.

A variety of solvents may be employed in the electrolyte as the polar aprotic solvent. Suitable polar aprotic solvents include liquids and gels capable of solubilizing sufficient quantities of the lithium salt and the redox shuttle so that a suitable quantity of charge can be transported from the positive electrode to negative electrode. The solvents can be used over a wide temperature range, e.g., from  $-30^\circ\text{C}$ . to  $70^\circ\text{C}$ . without freezing or boiling, and are stable in the electrochemical range within which the cell electrodes and shuttle operate. Suitable solvents include dimethyl carbonate; ethyl methyl carbonate; diethyl carbonate; methyl propyl carbonate; ethyl propyl carbonate; dipropyl carbonate; bis(trifluoroethyl) carbonate; bis(pentafluoropropyl) carbonate; trifluoroethyl methyl carbonate; pentafluoroethyl methyl carbonate; heptafluoropropyl methyl carbonate; perfluorobutyl methyl carbonate; trifluoroethyl ethyl carbonate; pentafluoroethyl ethyl carbonate; heptafluoropropyl ethyl carbonate; perfluorobutyl ethyl carbonate; fluorinated oligomers; dimethoxyethane; triglyme; dimethylvinylene carbonate; tetraethyleneglycol; dimethyl ether; polyethylene glycols; sulfones; and  $\gamma$ -butyrolactone.

The redox shuttles, as noted above, have suitable solubility in carbonate-based electrolyte solvents and gels. For example, the redox shuttles may be prepared in carbonate-based electrolytes, such as, 1.2M  $\text{LiPF}_6$  in EC/EMC 3/7 (i.e. an mixture of 3 parts ethylene carbonate and 7 parts ethylmethylcarbonate), or 1.2M  $\text{LiPF}_6$  in EC/DEC 5/5 (i.e. a 1:1 mixture of ethylene carbonate and diethylcarbonate). According to various embodiments, electrolytes may be pre-

pared with a redox shuttle at a concentration of from 0.005 wt % to 50 wt %, or from 0.1 wt % to 30 wt %. In other embodiments, the electrolytes may be prepared with a redox shuttle at a concentration of from 2% to 10%.

Suitable lithium salts that may be used in the electrolytes, include, but are not limited to,  $\text{Li}[\text{B}(\text{C}_2\text{O}_4)_2]$ ;  $\text{Li}[\text{BF}_2(\text{C}_2\text{O}_4)]$ ;  $\text{LiClO}_4$ ;  $\text{LiBF}_4$ ;  $\text{LiAsF}_6$ ;  $\text{LiSbF}_6$ ;  $\text{LiBr}$ ;  $\text{LiPF}_6$ ;  $\text{Li}[\text{CF}_3\text{SO}_3]$ ;  $\text{Li}[\text{N}(\text{CF}_3\text{SO}_2)_2]$ ;  $\text{Li}[\text{C}(\text{CF}_3\text{SO}_2)_3]$ ;  $\text{Li}[\text{B}(\text{C}_6\text{F}_5)_4]$ ;  $\text{Li}[\text{B}(\text{C}_6\text{H}_5)_4]$ ;  $\text{Li}[\text{N}(\text{SO}_2\text{CF}_3)_2]$ ;  $\text{Li}[\text{N}(\text{SO}_2\text{CF}_2\text{CF}_3)_2]$ ;  $\text{LiN}(\text{SO}_2\text{CF}_3)_2$ ;  $\text{Li}[\text{BF}_3\text{C}_2\text{F}_5]$ ; and  $\text{Li}[\text{PF}_3(\text{CF}_2\text{CF}_3)_3]$ ; and lithium alkyl fluorophosphates.

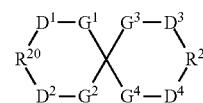
In some aspects, the electrolytes may include other compounds to enhance the performance of the electrolyte when used in an electrochemical cell. For example, the electrolytes may also include an electrode stabilizing compound to protect the electrodes from degradation. Such electrode stabilizing compounds are described by co-pending U.S. patent application Ser. Nos. 10/857,365 and 11/279,120. Such electrode stabilizing compounds can be reduced or polymerized on the surface of a negative electrode to form a passivation film on the surface of the negative electrode. Likewise, electrolytes can include an electrode stabilizing compound that can be oxidized or polymerized on the surface of the positive electrode to form a passivation film on the surface of the positive electrode. In some embodiments, the electrolytes further include mixtures of the two types of electrode stabilizing compounds. The compounds are typically present at a concentration of from 0.001 wt % to 8 wt %.

In some embodiments, the electrode stabilizing compound is a substituted or unsubstituted linear, branched or cyclic hydrocarbon including at least one oxygen atom and at least one aryl, alkenyl or alkynyl group. Passivating films may be formed from a substituted aryl compound or a substituted or unsubstituted heteroaryl compound where the compound includes at least one oxygen atom. Alternatively, a combination of two compounds may be used. In some embodiments, one compound is selective for forming a passivating film on the cathode to prevent leaching of metal ions and the other compound can be selective for passivating the anode surface to prevent or lessen the reduction of metal ions at the anode. Representative electrode stabilizing compounds include 1,2-divinyl furoate, 1,3-butadiene carbonate, 1-vinylazetidin-2-one, 1-vinylaziridin-2-one, 1-vinylpiperidin-2-one, 1-vinylpyrrolidin-2-one, 2,4-divinyl-1,3-dioxane, 2-amino-3-vinylcyclohexanone, 2-amino-3-vinylcyclopropanone, 2-amino-4-vinylcyclobutanone, 2-amino-5-vinylcyclopentanone, 2-aryloxy-cyclopropanone, 2-vinyl-[1,2]oxazetidine, 2-vinylaminocyclohexanol, 2-vinylaminocyclopropanone, 2-vinylloxetane, 2-vinylloxy-cyclopropanone, 3-(N-vinylamino)cyclohexanone, 3,5-divinyl furoate, 3-vinylazetidin-2-one, 3-vinylaziridin-2-one, 3-vinylcyclobutanone, 3-vinylcyclopentanone, 3-vinylloxaziridine, 3-vinylloxetane, 3-vinylpyrrolidin-2-one, 4,4-divinyl-3-dioxolan-2-one, 4-vinyltetrahydropyran, 5-vinylpiperidin-3-one, allylglycidyl ether, butadiene monoxide, butyl vinyl ether, dihydropyran-3-one, divinyl butyl carbonate, divinyl carbonate, divinyl crotonate, divinyl ether, divinyl ethylene carbonate, divinyl ethylene silicate, divinyl ethylene sulfate, divinyl ethylene sulfite, divinyl methoxypyrazine, divinyl methylphosphate, divinyl propylene carbonate, ethyl phosphate, methoxy-o-terphenyl, methyl phosphate, oxetan-2-yl-vinylamine, oxiranylvinylamine, vinyl carbonate, vinyl crotonate, vinyl cyclopentanone, vinyl ethyl-2-furoate, vinyl ethylene carbonate, vinyl ethylene silicate, vinyl ethylene sulfite, vinyl ethylene sulfite, vinyl methacrylate, vinyl phosphate, vinyl-2-furoate, vinylcyclopropanone, vinyl ethylene oxide,  $\beta$ -vinyl- $\gamma$ -butyrolactone, or a mixture of any two or more

thereof. In some embodiments the electrode stabilizing compound may be a cyclotriphosphazene that is substituted with F, alkyloxy, alkenyloxy, aryloxy, methoxy, allyloxy groups, or combinations thereof. For example, the compound may be a (divinyl)-(methoxy)(trifluoro)cyclotriphosphazene, (trivinyl)(difluoro)(methoxy)cyclotriphosphazene, (vinyl)(methoxy)(tetrafluoro)cyclotriphosphazene, (aryloxy)(tetrafluoro)(methoxy)-cyclotriphosphazene, (diaryloxy)(trifluoro)(methoxy)cyclotriphosphazene compounds, or a mixture of two or more such compounds. In some embodiments, the electrode stabilizing compound is vinyl ethylene carbonate, vinyl carbonate, or 1,2-diphenyl ether, or a mixture of any two or more such compounds.

Other representative electrode stabilizing compounds may include compounds with phenyl, naphthyl, anthracenyl, pyrrolyl, oxazolyl, furanyl, indolyl, carbazolyl, imidazolyl, or thiophenyl groups. For example, electrode stabilizing compounds may be aryloxypyrrole, aryloxy ethylene sulfate, aryloxy pyrazine, aryloxy-carbazole trivinylphosphate, aryloxy-ethyl-2-furoate, aryloxy-o-terphenyl, aryloxy-pyridazine, butyl-aryloxy-ether, divinyl diphenyl ether, (tetrahydro-furan-2-yl)-vinylamine, divinyl methoxybipyridine, methoxy-4-vinylbiphenyl, vinyl methoxy carbazole, vinyl methoxy piperidine, vinyl methoxypyrazine, vinyl methyl carbonate-allylanisole, vinyl pyridazine, 1-divinylimidazole, 3-vinyltetrahydrofuran, divinyl furan, divinyl methoxy furan, divinylpyrazine, vinyl methoxy imidazole, vinylmethoxy pyrrole, vinyl-tetrahydrofuran, 2,4-divinyl isooxazole, 3,4 divinyl-1-methyl pyrrole, aryloxyoxetane, aryloxy-phenyl carbonate, aryloxy-piperidine, aryloxy-tetrahydrofuran, 2-aryl-cyclopropanone, 2-diaryloxy-furoate, 4-allylanisole, aryloxy-carbazole, aryloxy-2-furoate, aryloxy-crotonate, aryloxy-cyclobutane, aryloxy-cyclopentanone, aryloxy-cyclopropanone, aryloxy-cyclophosphazene, aryloxy-ethylene silicate, aryloxy-ethylene sulfate, aryloxy-ethylene sulfite, aryloxy-imidazole, aryloxy-methacrylate, aryloxy-phosphate, aryloxy-pyrrole, aryloxyquinoline, diaryloxycyclotriphosphazene, diaryloxy ethylene carbonate, diaryloxy furan, diaryloxy methyl phosphate, diaryloxy-butyl carbonate, diaryloxy-crotonate, diaryloxy-diphenyl ether, diaryloxy-ethyl silicate, diaryloxy-ethylene silicate, diaryloxy-ethylene sulfate, diaryloxyethylene sulfite, diaryloxy-phenyl carbonate, diaryloxy-propylene carbonate, diphenyl carbonate, diphenyl diaryloxy silicate, diphenyl divinyl silicate, diphenyl ether, diphenyl silicate, divinyl methoxydiphenyl ether, divinyl phenyl carbonate, methoxycarbazole, or 2,4-dimethyl-6-hydroxy-pyrimidine, vinyl methoxyquinoline, pyridazine, vinyl pyridazine, quinoline, vinyl quinoline, pyridine, vinyl pyridine, indole, vinyl indole, triethanolamine, 1,3-dimethyl butadiene, butadiene, vinyl ethylene carbonate, vinyl carbonate, imidazole, vinyl imidazole, piperidine, vinyl piperidine, pyrimidine, vinyl pyrimidine, pyrazine, vinyl pyrazine, isoquinoline, vinyl isoquinoline, quinoxaline, vinyl quinoxaline, biphenyl, 1,2-diphenyl ether, 1,2-diphenylethane, o terphenyl, N-methyl pyrrole, naphthalene, or a mixture of any two or more such compounds.

In other embodiments, electrode stabilizing additives include substituted or unsubstituted spirocyclic hydrocarbons containing at least one oxygen atom and at least one alkenyl or alkynyl group. For example, such stabilizing compounds include those having Formula V:

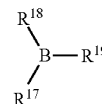


(V)

where: D<sup>1</sup>, D<sup>2</sup>, D<sup>3</sup>, and D<sup>4</sup> are independently O or CR<sup>22</sup>R<sup>23</sup>; provided that D<sup>1</sup> is not O when G<sup>1</sup> is O, D<sup>2</sup> is not O when G<sup>2</sup> is O, D<sup>3</sup> is not O when G<sup>3</sup> is O, and D<sup>4</sup> is not O when G<sup>4</sup> is O; G<sup>1</sup>, G<sup>2</sup>, G<sup>3</sup>, and G<sup>4</sup> are independently O or CR<sup>22</sup>R<sup>23</sup>; provided that G<sup>1</sup> is not O when D<sup>1</sup> is O, G<sup>2</sup> is not O when D<sup>2</sup> is O, G<sup>3</sup> is not O when D<sup>3</sup> is O, and G<sup>4</sup> is not O when D<sup>4</sup> is O; R<sup>20</sup> and R<sup>21</sup> are independently a substituted or unsubstituted divalent alkenyl or alkynyl group; R<sup>22</sup> and R<sup>23</sup> at each occurrence are independently H, F, Cl, a substituted or an unsubstituted alkyl, alkenyl, or alkynyl group.

Representative examples of Formula V include, but are not limited to, 3,9 divinyl-2,4,8,10-tetraoxaspiro[5.5]undecane, 3,9-divinyl-2,4,8-trioxaspiro[5.5]undecane, 3,9-divinyl-2,4-dioxaspiro[5.5]undecane, 3,9-diethylidene-2,4,8,10-tetraoxaspiro[5.5]undecane, 3,9 diethylidene-2,4,8-trioxaspiro[5.5]undecane, 3,9-diethylidene-2,4-dioxaspiro[5.5]undecane, 3,9-dimethylene-2,4,8,10-tetraoxaspiro[5.5]undecane, 3,9-divinyl-1,5,7,11-tetraoxaspiro[5.5]undecane, 3,9 dimethylidene-1,5,7,11-tetraoxaspiro[5.5]undecane, 3,9 diethylidene-1,5,7,11-tetraoxaspiro[5.5]undecane, or a mixture of any two or more such compounds. Furthermore, mixtures of any two or more electrode stabilizing compounds may also be used in the electrolytes.

In some embodiments, the electrode stabilizing compound is an anion receptor. In some embodiments, the anion receptor is a Lewis acid. In other embodiments, the anion receptor is a borane, a boronate, a borate, a borole, or a mixture of any two or more such compounds. In some embodiments, the anion receptor is a compound of the Formula VI:



VI

where, each R<sup>17</sup>, R<sup>18</sup>, and R<sup>19</sup> are independently halogen, alkyl, aryl, halogen-substituted alkyl, halogen-substituted aryl, or OR<sup>17</sup>; or any two of R<sup>17</sup>, R<sup>18</sup>, and R<sup>19</sup>, together with the atoms to which they are attached, form a heterocyclic ring having 5-9 members, and R<sup>17</sup> is at each occurrence independently alkyl, aryl, halogen-substituted alkyl, or halogen-substituted aryl.

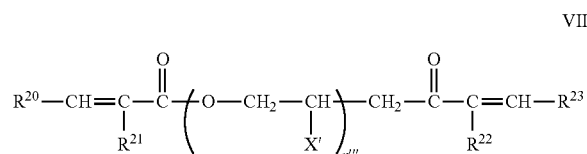
In some embodiments, the anion receptors include, but not limited to, tri(propyl)borate, tris(1,1,1,3,3,3-hexafluoropropan-2-yl)borate, tris(1,1,1,3,3,3-hexafluoro-2-phenylpropan-2-yl)borate, tris(1,1,1,3,3,3-hexafluoro-2-(trifluoromethyl)propan-2-yl)borate, triphenyl borate, tris(4-fluorophenyl)borate, tris(2,4-difluorophenyl)borate, tris(2,3,5,6-tetrafluorophenyl)borate, tris(pentafluorophenyl)borate, tris(3-(trifluoromethyl)phenyl)borate, tris(3,5-bis(trifluoromethyl)phenyl)borate, tris(pentafluorophenyl)borane, or a mixture of any two or more thereof. Further suitable compounds include 2-(2,4-difluorophenyl)-4-fluoro-1,3,2-benzodioxaborole, 2-(3-trifluoromethyl phenyl)-4-fluoro-1,3,2-benzodioxaborole, 2,5-bis(trifluoromethyl)phenyl-4-fluoro-1,3,2-benzodioxaborole, 2-(4-fluorophenyl)-tetrafluoro-1,3,2-benzodioxaborole, 2-(2,4-difluorophenyl)-tetrafluoro-1,3,

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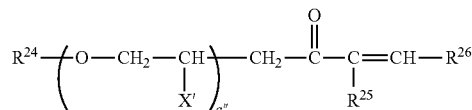
2-benzodioxaborole, 2-(pentafluorophenyl)-tetrafluoro-1,3,2-benzodioxaborole, 2-(2-trifluoromethyl phenyl)-tetrafluoro-1,3,2-benzodioxaborole, 2,5-bis(trifluoromethyl phenyl)-tetrafluoro-1,3,2-benzodioxaborole, 2-phenyl-4,4,5,5-tetra(trifluoromethyl)-1,3,2-benzodioxaborolane, 2-(3,5-difluorophenyl)-4,4,5,5-tetrakis(trifluoromethyl)-1,3,2-dioxaborolane, 2-(3,5-difluorophenyl)-4,4,5,5-tetrakis(trifluoromethyl)-1,3,2-dioxaborolane, 2-pentafluorophenyl-4,4,5,5-tetrakis(trifluoromethyl)-1,3,2-dioxaborolane, bis(1,1,1,3,3,3-hexafluoroisopropyl)phenyl-boronate, bis(1,1,1,3,3,3-hexafluoroisopropyl)-3,5-difluorophenylboronate, bis(1,1,1,3,3,3-hexafluoroisopropyl)pentafluorophenylboronate, or a mixture of any two or more such compounds. In some embodiments, each anion receptor is present at a concentration from 0.001 wt % to 10 wt %.

In some other embodiments, the electrolyte includes as an electrolyte compound,  $\text{Li}_2\text{B}_{12}\text{X}_{12-n}$ ,  $\text{Li}_2\text{B}_{10}\text{X}_{10-n}\text{H}_n$ , or a mixture of two or more of such compounds. Such electrolyte compounds may be present from 0.001 wt % to 15 wt %. In such compounds, X is OH,  $\text{OCH}_3$ , F, Cl, Br, or I, n is an integer from 0 to 12, and n' is an integer from 0 to 10.

In some embodiments, the electrolyte further includes a gel. Such electrolytes include a polar aprotic solvent; a lithium salt; a redox shuttle; a crosslinking agent; monofunctional monomeric compound; and at least one radical reaction initiator. In some embodiments, the gel electrolyte can also include other electrode stabilization compounds and other electrolyte compounds. Suitable crosslinking agents may be represented by Formula VII:



where  $\text{R}^{20}$ ,  $\text{R}^{21}$ ,  $\text{R}^{22}$ , and  $\text{R}^{23}$  are each independently hydrogen, a substituted or unsubstituted alkyl group having from 1 to 12 carbon atoms, or a substituted or unsubstituted alkenyl group having from 2 to 12 carbon atoms; and where  $\text{X}'$  is a hydrogen, methyl, or ethyl group, and  $n''$  is an integer from 1 to 15. Monofunctional monomeric compounds may be used for the control of the crosslinking density of the gel electrolyte. Suitable monofunctional monomeric compounds include those of Formula VIII:



where  $\text{R}^{24}$  is an alkyl group having from 1 to 12 carbon atoms;  $\text{R}^{25}$  and  $\text{R}^{26}$  are each independently a hydrogen, a substituted or unsubstituted alkyl group having from 1 to 12 carbon atoms, or a substituted or unsubstituted alkenyl group having from 2 to 12 carbon atoms;  $\text{X}'$  is hydrogen, methyl or ethyl group; and  $q''$  is an integer from 1 to 20.

Crosslinking agents and monofunctional monomeric compounds provide a physical framework, or gel, after crosslink-

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ing to host the polar aprotic solvent. Variation of the amount of the crosslinking agent and monofunctional monomeric compound in the gel may impact the conductivity of the gel electrolyte, due to changes in viscosity. Lower viscosity gels are prepared with higher concentrations of monofunctional monomeric compound, as compared to the concentration of monofunctional monomeric compound used for higher viscosity gels. Without being bound by theory, higher viscosity gels may be expected to have lower electrochemical conductivity, while lower viscosity gels may be expected to have higher electrochemical conductivity. However, other electrochemical properties of the gel electrolyte, or an electrochemical cell prepared with the gel electrolyte, such as oxidation potential and reduction potential, are not expected to be impacted.

Polymerization of crosslinking agents and monofunctional monomeric compounds are known to those of skill in the art. For example, monofunctional monomeric compounds may be polymerized by thermal and photo initiation. Representative thermal initiators include, but are not limited to, an azo compound, a peroxide compound, bismaleimide, or a mixture of any two or more thereof. One example of an azo compound is azoisobutyronitrile. One example of a peroxide compound is benzoylperoxide. Representative photoinitiators include, but are not limited to, 1-hydroxyl-phenyl-ketone, benzophenone, 2-hydroxyl-2-methyl-1-phenyl-propanone, 2-hydroxyl-1-[4-(2-hydroxyl)phenyl]-2-methyl-1-propanone, methylbenzoylformate, oxy-phenyl-acetic acid 2-[2-oxo-2-phenyl-acetoxy-ethoxy]-ethyl ester, oxy-phenyl-acetic 2-[2-hydroxy-ethoxy]-ethyl ester,  $\alpha,\alpha$ -dimethoxy- $\alpha$ -phenylacetophenone, 2-benzyl-2-(dimethylamino)-1-[4-(4-morpholinyl)phenyl]-1-propanone, diphenyl(2,4,6-trimethylthio)phenyl)-phosphine oxide, phosphine oxide, phenyl bis(2,4,6-trimethyl benzoyl), bis( $\eta^5$ -2,4-cyclopentadien-1-yl)bis[2,6-difluoro-3-(1H-pyrrol-1-yl)phenyl]titanium, iodonium (4-methylphenyl)-[4-(2-methylpropyl)phenyl]-hexafluorophosphate, or a mixture of two or more thereof. In some instances the photoinitiator is a UV initiator.

In another aspect, a battery is provided including a plurality of series-connected rechargeable lithium ion cells. In such embodiments, each cell includes a negative electrode; a negative electrode current collector; a positive electrode; a positive electrode current collector; and an electrolyte as described above including the polar, aprotic solvent, the lithium salt, and the redox shuttle. In such systems the negative electrode has a larger irreversible first cycle capacity loss than that of the positive electrode, because the redox shuttle has an electrochemical potential above the positive electrode maximum normal operating potential, and the negative current collector has a lithium alloying potential below the negative electrode minimum normal operating potential. In one embodiment, the electrode balance is adjusted (by using a larger capacity negative electrode than positive electrode) so that the redox shuttle can provide overcharge protection as well.

A variety of negative electrodes may be employed in lithium-ion batteries. Representative negative electrodes include  $\text{Li}_4\text{Ti}_5\text{O}_{12}$ ; the lithium alloy compositions described in U.S. Pat. Nos. 6,203,944; 6,255,017; 6,436,578; 6,664,004; and 6,699,336; U. S. Patent Application Publication Nos. 2003/0211390; 2004/013 1936; 2005/0031957; and

2006/046144; graphitic carbons e.g., those having a spacing between (002) crystallographic planes,  $d_{002}$ , of  $3.45 \text{ \AA} > d_{002} > 3.354 \text{ \AA}$  and existing in forms such as powders, flakes, fibers or spheres (e.g., mesocarbon microbeads (MCMB)); other materials that will be familiar to those skilled in the art; and combinations thereof.

Where the negative electrode has a larger irreversible first cycle capacity loss than that of the positive electrode, the positive electrode will normally remain at an elevated potential during over-discharge. Its current collector will be held near the same elevated potential and will not be susceptible to lithium capture during recharging or dissolution during over-discharging. Accordingly, there are fewer constraints on selection of the positive electrode current collector. Representative positive electrode current collectors include aluminum, stainless steels (e.g., 300 series and 400 series stainless steels), titanium, tantalum, niobium, INCONEL alloys, combinations thereof and other materials that will be familiar to those skilled in the art. A variety of positive electrodes may be employed in lithium-ion batteries in conjunction with the redox shuttles. Representative positive electrodes include  $\text{LiFePO}_4$ ,  $\text{LiMnPO}_4$ ,  $\text{LiMn}_2\text{O}_4$ ,  $\text{LiCoPO}_4$ , and  $\text{LiCoO}_2$ ; lithium transition metal oxides as disclosed in U.S. Pat. Nos. 5,858,324; 5,900,385; 6,143,268; 6,680,145; 6,964,828; 7,078,128; and 7,211,237; U. S. Patent Application Publication Nos. 2003/0027048; 2004/0121234; 2004/0179993; and 2006/045144.

The negative or positive electrode may contain additives such as will be familiar to those skilled in the art, e.g., carbon black for negative electrodes, and carbon black, flake graphite and the like for positive electrodes.

The negative and positive electrode capacities may optionally be selected to provide an excess negative electrode capacity. This enables the redox shuttle to provide overcharge protection. Ten percent to twenty percent excess negative electrode capacity is recommended. Lesser or greater excess negative electrode capacities may be employed if desired.

In another aspect, an electrical device is provided including an electrical load (e.g., an electronic circuit, motor, illumination source or heat source) and the above-described battery. Exemplary embodiments of the recited device can be made without electronic over-discharge (and optionally without electronic overcharge) protection circuitry.

The following terms are used throughout as defined below.

The term "spinel" refers to manganese-based spinel such as, e.g.,  $\text{Li}_{1-x}\text{Mn}_{2-z}\text{Met}_y\text{O}_{4-m}\text{X}_n$ , wherein Met is Al, Mg, Ti, B, Ga, Si, Ni, or Co; X is S or F; and wherein  $0 \leq x \leq 0.3$ ,  $0 > y > 0.5$ ,  $0 \leq z \leq 0.5$ ,  $0 \leq m \leq 0.5$  and  $0 \leq n \leq 0.5$ .

The term "olivine" refers to iron-based olivine such as, e.g.,  $\text{LiFe}_{1-z}\text{Met}''_y\text{PO}_{4-m}\text{X}_n$ , wherein Met'' is Al, Mg, Ti, B, Ga, Si, Ni, Mn or Co; X is S or F; and wherein  $0 \leq x \leq 0.3$ ;  $0 \leq y \leq 0.5$ ,  $0 \leq z \leq 0.5$ ,  $0 \leq m \leq 0.5$  and  $0 \leq n \leq 0.5$ .

Alkyl groups include straight chain and branched alkyl groups having from 1 to about 20 carbon atoms, and typically from 1 to 12 carbons or, in some embodiments, from 1 to 8 carbon atoms. As employed herein, "alkyl groups" include cycloalkyl groups as defined below. Examples of straight chain alkyl groups include methyl, ethyl, n-propyl, n-butyl, n-pentyl, n-hexyl, n-heptyl, and n-octyl groups. Examples of branched alkyl groups include, but are not limited to, isopropyl, sec-butyl, t-butyl, neopentyl, and isopentyl groups. Representative substituted alkyl groups may be substituted one or

more times with, for example, amino, thio, hydroxy, cyano, alkoxy, and/or halo groups such as F, Cl, Br, and I groups. As used herein the term haloalkyl is an alkyl group having one or more halo groups. In some embodiments, haloalkyl refers to a per-haloalkyl group.

Cycloalkyl groups are cyclic alkyl groups such as, but not limited to, cyclopropyl, cyclobutyl, cyclopentyl, cyclohexyl, cycloheptyl, and cyclooctyl groups. In some embodiments, the cycloalkyl group has 3 to 8 ring members, whereas in other embodiments the number of ring carbon atoms range from 3 to 5, 6, or 7. Cycloalkyl groups further include polycyclic cycloalkyl groups such as, but not limited to, norbornyl, adamantyl, bornyl, camphenyl, isocamphenyl, and carenyl groups, and fused rings such as, but not limited to, decaliny, and the like. Cycloalkyl groups also include rings that are substituted with straight or branched chain alkyl groups as defined above. Representative substituted cycloalkyl groups may be mono-substituted or substituted more than once, such as, but not limited to: 2,2-; 2,3-; 2,4-; 2,5-; or 2,6-disubstituted cyclohexyl groups or mono-, di-, or tri-substituted norbornyl or cycloheptyl groups, which may be substituted with, for example, alkyl, alkoxy, amino, thio, hydroxy, cyano, and/or halo groups.

Alkenyl groups are straight chain, branched or cyclic alkyl groups having 2 to about 20 carbon atoms, and further including at least one double bond. In some embodiments alkenyl groups have from 1 to 12 carbons, or, typically, from 1 to 8 carbon atoms. Alkenyl groups include, for instance, vinyl, propenyl, 2-butenyl, 3-butenyl, isobutenyl, cyclohexenyl, cyclopentenyl, cyclohexadienyl, butadienyl, pentadienyl, and hexadienyl groups among others. Alkenyl groups may be substituted similarly to alkyl groups. Divalent alkenyl groups, i.e., alkenyl groups with two points of attachment, include, but are not limited to,  $\text{CH}=\text{CH}=\text{CH}_2$ ,  $\text{C}=\text{CH}_2$ , or  $\text{C}=\text{CHCH}_3$ .

Alkynyl groups are straight chain or branched alkyl groups having 2 to about 20 carbon atoms, and further including at least one triple bond. In some embodiments alkynyl groups have from 1 to 12 carbons, or, typically, from 1 to 8 carbon atoms. Exemplary alkynyl groups include, but are not limited to, ethynyl, propynyl, and butynyl groups. Alkynyl groups may be substituted similarly to alkyl groups. Divalent alkynyl groups, i.e., alkynyl groups with two points of attachment, include but are not limited to  $\text{CH}=\text{CCH}$ .

One skilled in the art will readily realize that all ranges discussed can and do necessarily also describe all subranges therein for all purposes, and that all such subranges also form part and parcel of this disclosure. Any listed range can be easily recognized as sufficiently describing and enabling the same range being broken down into at least equal halves, thirds, quarters, fifths, tenths, etc. As a non-limiting example, each range discussed herein can be readily broken down into a lower third, middle third and upper third, etc.

All publications, patent applications, issued patents, and other documents referred to in this specification are herein incorporated by reference as if each individual publication, patent application, issued patent, or other document was specifically and individually indicated to be incorporated by reference in its entirety. Definitions that are contained in text incorporated by reference are excluded to the extent that they contradict definitions in this disclosure.

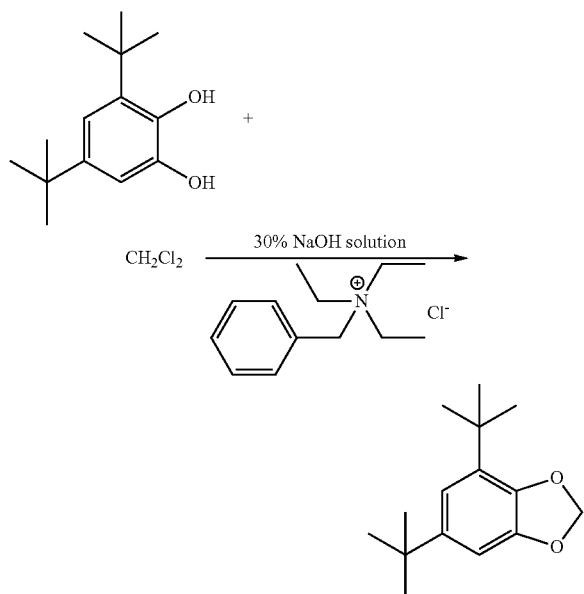
## 15

The present technology, thus generally described, will be understood more readily by reference to the following examples, which are provided by way of illustration and are not intended to be limiting.

## EXAMPLES

## Example 1

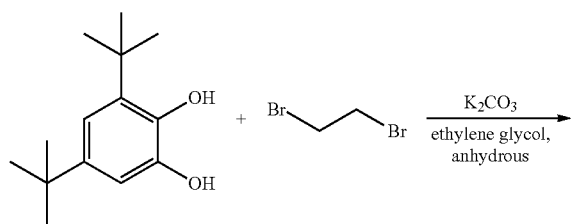
## Synthesis of 4,6-di-tert-butyl-1,3-benzodioxole



3,5-Di-tert-butylhydroquinone (6.7 g, 30 mmol) was dissolved in  $\text{CH}_2\text{Cl}_2$  (300 mL), and triethylbenzylammonium chloride (6.8 g, 30 mmol) and aqueous sodium hydroxide (100 mL, 30%) were added. The reaction was stirred at ambient temperature overnight. The organic layer was then separated, washed with water, and dried over  $\text{MgSO}_4$ . The organic layer was then concentrated to afford a crude oil. The crude oil was chromatographed (silica, hexane/ethyl acetate=20:1) mixture to afford the title compound ( $R_f$ =0.5, 10:1 hexane/ethyl acetate).  $^1\text{H}$  NMR (500 MHz,  $\text{CDCl}_3$ ):  $\delta$  6.79 (m, 2H), 5.90 (s, 2H), 1.35 (s, 9H), 1.23 (s, 9H).

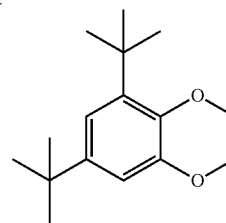
## Example 2

## Synthesis of 5,7-di-tert-butyl-1,4-benzodioxin



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-continued



3,5-Di-tert-butylhydroquinone (3.33 g, 15 mmol) was combined with 1,2-dibromoethane (5.6 g), potassium carbonate (4.35 g) and ethylene glycol (25 mL). The mixture was heated in a 120° C. oil bath under an Ar atmosphere for 12 h. Water was added to the reaction mixture to quench the reaction and then the organic compound was extracted by  $\text{CH}_2\text{Cl}_2$ . The resulting solution was concentrated to afford a crude oil. The crude oil was then chromatographed (silica, hexane/ethyl acetate=20:1) mixture to afford the title compound ( $R_f$ =0.5, 5:1 hexane/ethyl acetate).  $^1\text{H}$  NMR (500 MHz,  $\text{CDCl}_3$ ):  $\delta$  7.03 (d,  $J$ =2 Hz, 1H), 6.93 (d,  $J$ =2 Hz, 1H), 4.34 (s, 4H), 1.53 (s, 9H), 1.43 (s, 9H).

## Example 3

FIG. 1 are cyclic voltammograms of 4,6-di-tert-butyl-1,3-benzodioxole (10 mM) in 1.2 M  $\text{LiPF}_6$  in EC/EMC (3:7 by weight) using a three electrode system (Pt working Electrode, Li counter electrode and Li reference electrode) at different scan rates. The formal reduction potential ( $E$ =4.12 V) was calculated as the average of the anodic and cathodic peak potentials. The high reversibility illustrates its use as a redox shuttle for overcharge protection of lithium-ion batteries.

## Example 4

FIG. 2 are cyclic voltammograms of 5,7-di-tert-butyl-1,4-benzodioxin (10 mM) in 1.2 M  $\text{LiPF}_6$  in EC/EMC (3:7 by weight) using a three electrode system (Pt working Electrode, Li counter electrode and Li reference electrode) at different scan rates. The formal reduction potential ( $E$ =4.17 V) was calculated as the average of the anodic and cathodic peak potentials. Its high reversibility illustrates its use as a redox shuttle for overcharge protection of lithium-ion batteries.

## Example 5

FIG. 3 illustrates voltage profiles of LTO/ $\text{LiFePO}_4$  cells containing 2.3 wt % 5,7-di-tert-butyl-1,4-benzodioxin in 1.2M  $\text{LiPF}_6$  in EC/EMC (3:7 by weight) during the course of 0-1240 h. The charging rate was C/10 and overcharge is 100%. The redox shuttle compound shows excellent overcharge protection performance in conventional carbonate-based electrolyte.

## Example 6

FIG. 4 illustrates capacity retention profiles of LTO/ $\text{LiFePO}_4$  cells containing 2.3 wt % 5,7-di-tert-butyl-1,4-benzodioxin in 1.2M  $\text{LiPF}_6$  in EC/EMC (3:7 by weight) during the course of 0-1240 h. The charging rate is C/10 and overcharge is 100%. After about 45 overcharge cycles, the capacity retention does not show obvious decrease.

## Example 7

Synthesis of 5,7-di-tert-butyl-2,3-dibromo-1,4-benzodioxin. 5,7-Di-tert-butyl-1,4-benzodioxin (1.15 g) was dis-

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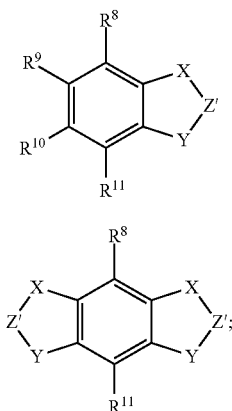
solved in  $\text{CCl}_4$  (50 mL). The mixture was heated to  $80^\circ\text{C}$ . and a suspension of N-bromosuccinimide (2.30 g) in  $\text{CCl}_4$  was slowly added under an Ar atmosphere. The mixture continued to heat at  $80^\circ\text{C}$ . and the reaction progress was monitored by TLC. After a week, the insoluble solid was filtered off, and the solvent removed in vacuo to afford colorless oil. The oil was chromatographed (silica, hexane/ethyl acetate=20:1) mixture to afford the pure compound (20% yield;  $R_f$ =0.65, 10:1 hexane/ethyl acetate).

## Example 8

FIG. 5 illustrates cyclic voltammograms of 5,7-di-tert-butyl-2,3-dibromo-1,4-benzodioxin in 1.2 M  $\text{LiPF}_6$  in EC/EMC (3:7 by weight) using a three electrode system (Pt working Electrode, Li counter electrode and Li reference electrode) at different scan rates. The formal reduction potential ( $E=4.7\text{ V}$ ) was calculated as the average of the anodic and cathodic peak potentials. Its high reversibility illustrates its utility as a redox shuttle for overcharge protection of lithium-ion batteries.

What is claimed is:

1. An electrolyte comprising:  
a redox shuttle comprising a compound of Formula IVA or IVB:



$R^9$  and  $R^{11}$  are each independently H, F, Cl, Br, CN,  $\text{NO}_2$ , alkyl, haloalkyl, or alkoxy groups;  
 $R^8$  and  $R^{10}$  are each independently alkyl;  
X and Y are each independently O, S, N, or P; and  
Z' is a linkage between X and Y.

2. The electrolyte of claim 1, wherein X and Y are O.

3. The electrolyte of claim 1, wherein: Z' is  $\text{CH}_2$ ,  $\text{CH}_2\text{CH}_2$ ,  $\text{CH}_2\text{CH}_2\text{CH}_2$ ,  $\text{CH}_2\text{CH}_2\text{CH}_2\text{CH}_2$ ,  $\text{CH}_2\text{CH}_2\text{CH}_2\text{CH}_2\text{CH}_2$ ,  $\text{CH}(\text{CH}_3)\text{CH}_2$ ,  $\text{CH}(\text{C}_3)(\text{CH}_2)\text{CH}_2$ ,  $\text{CH}(\text{CH}_3)\text{CH}(\text{CH}_3)$ ,  $\text{CHCH}$ ,  $\text{CCl}_2$ ,  $\text{C}_2$ ,  $\text{CF}_2$ ,  $\text{CH}(\text{Cl})\text{CH}(\text{Cl})$ ,  $\text{CH}(\text{Br})\text{CH}(\text{Br})$ ,  $\text{CH}(\text{F})\text{CH}(\text{F})$ ,  $\text{CH}_2(\text{CF}_2)\text{CH}_2$ ,  $\text{CH}_2\text{N}(\text{Me})\text{CH}_2$ ,  $\text{CH}_2\text{OCH}_2\text{CH}_2\text{OCH}_2$ ,  $\text{CH}_2\text{CH}_2\text{OCH}_2\text{OCH}_2\text{CH}_2$ ,  $\text{CH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OCH}_2$ , or  $\text{CH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OCH}_2\text{CH}_2$ .

4. The electrolyte of claim 1, wherein at least two of  $R^8$ ,  $R^9$ ,  $R^{10}$ , and  $R^{11}$  are independently tertiary alkyl groups.

5. The electrolyte of claim 1, wherein

X and Y are O; and

Z' is  $\text{CH}_2$ ,  $\text{CH}_2\text{CH}_2$ , or  $\text{CHCH}$ .

6. The electrolyte of claim 1, wherein:

$R^8$  and  $R^{10}$  are tert-butyl;

$R^9$  and  $R^{11}$  are each independently H, F, Cl, or Br;

X and Y are O; and

Z' is  $\text{CH}_2$  or  $\text{CHCH}$ .

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7. The electrolyte of claim 1, wherein the compound comprises 5,7-di-tert-butyl-2,3-dibromo-1,4-benzodioxin; 5,7-di-tert-butyl-1,4-benzodioxin; or 4,6-di-tert-butyl-1,3-benzodioxole.

8. The electrolyte of claim 1, wherein the redox shuttle has a redox potential of from 3 V to 5 V in the electrolyte.

9. The electrolyte of claim 1, wherein the redox shuttle is present in the electrolyte from 0.0005 wt % to 50 wt %.

10. The electrolyte of claim 1, further comprising an alkali metal salt.

11. The electrolyte of claim 10, wherein the alkali metal salt comprises  $\text{Li}[\text{B}(\text{C}_2\text{O}_4)_2]$ ;  $\text{Li}[\text{BF}_2(\text{C}_2\text{O}_4)]$ ;  $\text{LiClO}_4$ ;  $\text{LiBF}_4$ ;  $\text{LiAsF}_6$ ;  $\text{LiPF}_6$ ;  $\text{Li}[\text{CF}_3\text{SO}_3]$ ;  $\text{Li}[\text{N}(\text{CF}_3\text{SO}_2)_2]$ ;  $\text{Li}[\text{C}(\text{CF}_3\text{SO}_2)_3]$ ;  $\text{LiN}(\text{SO}_2\text{C}_2\text{F}_5)_2$ ; or a lithium alkyl fluorophosphate.

12. The electrolyte of claim 1, wherein further comprising a polar aprotic solvent.

13. The electrolyte of claim 12, wherein the polar aprotic solvent comprises ethylene carbonate, propylene carbonate, dimethyl carbonate, diethyl carbonate, ethyl methyl carbonate, dimethyl ether, or  $\gamma$ -butyrolactone.

14. The electrolyte of claim 1 which is substantially non-aqueous.

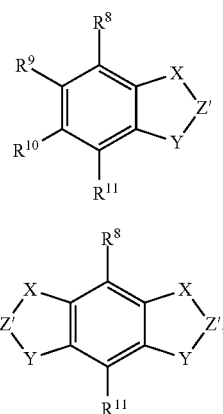
15. An electrochemical device comprising

a cathode

an anode; and

an electrolyte comprising a compound of Formula IVA or IVB;

the compound of Formula IVA or IVB is:



$R^9$  and  $R^{11}$  are each independently H, F, Cl, Br, CN,  $\text{NO}_2$ , alkyl, haloalkyl, or alkoxy groups;  
 $R^8$  and  $R^{10}$  are each independently alkyl;  
X and Y are each independently O, S, N, or P; and  
Z' is a linkage between X and Y.

16. The electrochemical device of claim 15, wherein X and Y are O.

17. The electrochemical device of claim 15, wherein at least two of  $R^8$ ,  $R^9$ ,  $R^{11}$ , and  $R^{11}$  are independently tertiary alkyl groups.

18. The electrochemical device of claim 15, wherein: Z' is  $\text{CH}_2$ ,  $\text{CH}_2\text{CH}_2$ ,  $\text{CH}_2\text{CH}_2\text{CH}_2$ ,  $\text{CH}_2\text{CH}_2\text{CH}_2\text{CH}_2$ ,  $\text{CH}_2\text{CH}_2\text{CH}_2\text{CH}_2\text{CH}_2$ ,  $\text{CH}(\text{CH}_3)\text{CH}_2$ ,  $\text{CH}(\text{CH}_3)(\text{CH}_2)\text{CH}_2$ ,  $\text{CH}(\text{CH}_3)\text{CH}(\text{CH}_3)$ ,  $\text{CHCH}$ ,  $\text{CCl}_2$ ,  $\text{CBr}_2$ ,  $\text{CF}_2$ ,  $\text{CH}(\text{Cl})\text{CH}(\text{Cl})$ ,  $\text{CH}(\text{Br})\text{CH}(\text{Br})$ ,  $\text{CH}(\text{F})\text{CH}(\text{F})$ ,  $\text{CH}_2(\text{CF}_2)\text{CH}_2$ ,  $\text{CH}_2\text{N}(\text{Me})\text{CH}_2$ ,  $\text{CH}_2\text{OCH}_2\text{CH}_2\text{OCH}_2$ ,  $\text{CH}_2\text{CH}_2\text{OCH}_2\text{OCH}_2\text{CH}_2$ ,  $\text{CH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OCH}_2$ , or  $\text{CH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OCH}_2\text{CH}_2$ .

\* \* \* \* \*

UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

PATENT NO. : 9,293,789 B2  
APPLICATION NO. : 14/519347  
DATED : March 22, 2016  
INVENTOR(S) : Wei Weng, Zhengcheng Zhang and Khalil Amine

Page 1 of 1

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Claims

**In Claim 3, Column 17, Line 53:**

delete "C<sub>2</sub>" and insert -- CBr<sub>2</sub> --, therefore.

**In Claim 17, Column 18, Line 56:**

delete "R<sup>8</sup>, R<sup>9</sup>, R<sup>11</sup>, and R<sup>11</sup>" and insert -- R<sup>8</sup>, R<sup>9</sup>, R<sup>10</sup>, and R<sup>11</sup> --, therefore.

Signed and Sealed this  
Twenty-fourth Day of May, 2016



Michelle K. Lee  
*Director of the United States Patent and Trademark Office*